



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wright J. Nee : Date: July 11, 2001
Group Art Unit: Unassigned : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: Unassigned : Dept. 917, Bldg. 006-1
Filed: Herewith : 3605 Highway 52 North
Title: AUTOMATIC BROADCAST CHANNEL : Rochester, MN 55901-7829
TUNING APPARATUS AND METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. Unassigned
Docket No. ROC920000321US1

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: July 11, 2001

Respectfully submitted,

By James R. Nock
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EXPRESS MAIL Mailing No. EK595506703US
Date of Deposit: July 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

James R. Nock
(Signature)

James R. Nock
(Attorney Name)

FORM PTO-1449 (Modified)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.

SERIAL NO.

ROC920000321US1

Unassigned

APPLICANT: Wright J. Nee

FILING DATE:
Herewith

GROUP:
Unassigned

(Use several sheets if necessary)

1c986 U.S. PTO
09/903131
07/11/01

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA	6 1 1 2 0 6 3	08/29/00	Ravi et al.	455	186.1	
AB	5 9 6 4 8 2 1	10/12/99	Brunts et al	701	201	
AC	5 8 6 4 7 5 3	01/26/99	Morita et al	455	136.1	
AD	5 6 5 4 7 1 9	08/05/97	Kunii	342	451	
AE	5 3 0 7 5 1 3	04/26/94	Harada	455	186.1	
AF	5 1 5 2 0 1 2	09/29/92	Schwob	455	158.5	
AG	5 0 4 8 1 1 9	09/10/91	Wassink	455	161	
AH	5 0 0 1 7 7 5	03/19/91	Hayashi et al.	455	158	
AI	4 9 6 9 2 0 9	11/6/90	Schwob	455	158	
AJ	5 7 3 4 9 7 3	03/31/98	Honda	455	186.1	
AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							
AO							
AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AR	Dr. A. J. Bower, "DIGITAL RADIO - A Revolution For In-Car Entertainment"; Proceedings of
	NavPos Automotive 1998 Conference, Birmingham, England, June 10, 1998, Vol. 2, Session 5-8, pp 40-51.
AS	Dave Carpenter, "Internet is Tuning out Car Radios", August 6, 2000; http://www.onnneathens.com/stories
AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.